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| APPLICATION NO.      | FILING DATE                             | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |  |
|----------------------|---|----------------------|---------------------|------------------|--|
| 09/749,728           | 12/28/2000                              | Akihiro Umezawa      | 766.43              | 6784             |  |
| 5514<br>FITZPATRICI  | 7590 07/11/2007<br>C CELLA HARPER & SCI | EXAMINER             |                     |                  |  |
| 30 ROCKEFELLER PLAZA |   |                      | LI, QIAN JANICE     |                  |  |
| NEW YORK,            | NY 10112                                |                      | ART UNIT            | PAPER NUMBER     |  |
|                      |   | •                    | 1633                |                  |  |
|                      |   |                      |                     |                  |  |
|                      |   |                      | MAIL DATE           | DELIVERY MODE    |  |
|                      |   |                      | 07/11/2007          | PAPER            |  |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

## Advisory Action Before the Filing of an Appeal Brief

| Application No.    | Applicant(s)   |
|--------------------|----------------|
| 09/749,728         | UMEZAWA ET AL. |
| Examiner           | Art Unit       |
| Q. Janice Li, M.D. | 1633           |

|                                  |   | Q. Janice Li, M.D.   | 1633  |  |
|----------------------------------|---|--|---|--|
|                                  | The MAILING DATE of this communication appe   | ars on the cover sheet with ti   | ne correspondence add   | iress  |
| THE                              | REPLY FILED 07 December 2006 FAILS TO PLACE THIS  |  |   |  |
| 1. 🖾                             | The reply was filed after a final rejection, but prior to or on this application, applicant must timely file one of the follow places the application in condition for allowance; (2) a No a Request for Continued Examination (RCE) in compliance time periods:  | the same day as filing a Notice ving replies: (1) an amendment tice of Appeal (with appeal fee) se with 37 CFR 1.114. The repl | e of Appeal. To avoid aba<br>, affidavit, or other evide<br>i in compliance with 37 C | nce, which<br>SFR 41.31; or (3)              |
| a)<br>b)                         | no event, however, will the statutory period for reply expire la  | dvisory Action, or (2) the date set fater than SIX MONTHS from the m   | ailing date of the final reject   | ion.   |
| _                                | Examiner Note: If box 1 is checked, check either box (a) or (TWO MONTHS OF THE FINAL REJECTION. See MPEP 70   | 06.07(f).  |   |  |
| nave<br>inder<br>set fo<br>may r | sions of time may be obtained under 37 CFR 1.136(a). The date been filed is the date for purposes of determining the period of exists 37 CFR 1.17(a) is calculated from: (1) the expiration date of the sight in (b) above, if checked. Any reply received by the Office later educe any earned patent term adjustment. See 37 CFR 1.704(b) ICE OF APPEAL | tension and the corresponding amo<br>shortened statutory period for reply<br>than three months after the mailin                | ount of the fee. The approprioriginally set in the final Off                          | riate extension fee<br>ice action; or (2) as |
|                                  | The Notice of Appeal was filed on <u>08 February 2007</u> . A beauther the date of filing the Notice of Appeal (37 CFR 41.37(a)), appeal. Since a Notice of Appeal has been filed, any reply NDMENTS  | or any extension thereof (37 Cl  | FR 41.37(e)), to avoid dis  | smissal of the                               |
| 3. 🛛                             | The proposed amendment(s) filed after a final rejection, (a) They raise new issues that would require further co  |  |   | ecause                                       |
|                                  | <ul> <li>(b) ☐ They raise the issue of new matter (see NOTE belo</li> <li>(c) ☐ They are not deemed to place the application in bet appeal; and/or</li> </ul>   | ter form for appeal by materiall   |   | the issues for                               |
|                                  | (d) They present additional claims without canceling a NOTE: See Continuation Sheet. (See 37 CFR 1.1  | -  | / rejected claims.  |  |
| 1 🗀                              | The amendments are not in compliance with 37 CFR 1.13   | ` ''   | -Compliant Amendment  | (PTOL-324)                                   |
| <br>5. [                         | •   |  | o o mpilant / morramont   |  |
| 3. 🔲                             | •   |  | ate, timely filed amendme   | ent canceling the                            |
| 7. 🖂                             | For purposes of appeal, the proposed amendment(s): a) how the new or amended claims would be rejected is provide status of the claim(s) is (or will be) as follows:   |  | will be entered and an  | explanation of                               |
|                                  | Claim(s) allowed: Claim(s) objected to:   |  |   |  |
|                                  | Claim(s) rejected: <u>1,6-19,21-28,38,39,41,43,44,47-63 and</u> Claim(s) withdrawn from consideration:  | <u>1 78-91</u> .   |   |  |
| 4FFI                             | DAVIT OR OTHER EVIDENCE   |  |   |  |
| 3. □                             | The affidavit or other evidence filed after a final action, but because applicant failed to provide a showing of good an was not earlier presented. See 37 CFR 1.116(e).  |  |   |  |
|                                  | The affidavit or other evidence filed after the date of filing entered because the affidavit or other evidence failed to conshowing a good and sufficient reasons why it is necessar  | overcome <u>all</u> rejections under a   | ppeal and/or appellant fa   | ils to provide a                             |
|                                  | ☐ The affidavit or other evidence is entered. An explanatio   | n of the status of the claims aft  | er entry is below or attac  | hed.   |
|                                  | UEST FOR RECONSIDERATION/OTHER  |  |   |  |
| 11. [                            | The request for reconsideration has been considered bu<br><u>See Continuation Sheet.</u>  | it does NOT place the applicati  |   |  |
|                                  | ☐ Note the attached Information Disclosure Statement(s). ☐ Other:   | (PTO/SB/08) Paper No(s)  | Q. JANICE LI, M<br>PRIMARY EXAMII   | NER  |
|                                  |   |  | O. Janice Li, M.D.<br>Primary Examiner<br>Art Unit: 1633                              | •  |

Continuation of 3. NOTE: The amendment changes the interpretation of the claims, and would require further search and consideration.

Continuation of 11. does NOT place the application in condition for allowance because: The arguments are drawn to purposed amendment, which has not been entered, and thus moot.